GOLD DEAL DESK / ILLUSTRATIVE SAMPLE

Pre-commitment deal review

Fictional transaction GDD-SAMPLE-001 • Proposed 30 kg gold doré shipment to Dubai

Opinion on next steps

HOLD PENDING EVIDENCE

The draft sale and purchase agreement names Seller A. The export licence names Exporter B. The pack contains no written authority or other evidence linking the two companies to this sale. The buyer should resolve that gap before deciding whether to commission enhanced due diligence.

What would change the opinion

Obtain written evidence linking Seller A, Exporter B and the specific lot. Confirm the arrangement directly with the relevant parties using independently sourced contact details. Reassess the opinion once the consignee, logistics charge and assay questions below are also addressed.

Findings and next checks

Finding	Document reference	Next check
01 • Seller and licence holder differ	D01 draft SPA, p. 1; D03 licence copy, p. 1	Ask for the written authority or agreement linking both entities to this transaction. Confirm who controls the lot and who can sell and export it.
02 • Consignee names differ	D02 commercial invoice, p. 1; D05 draft shipping instructions, p. 1	Ask both parties to confirm the intended consignee and reconcile the invoice and instructions before dispatch.
03 • Upfront logistics charge is unresolved	D06 logistics invoice, p. 1; D01 draft SPA, cl. 7	Ask the parties to confirm in writing who pays, when payment is due and who is entitled to receive it.
04 • Assay is not linked to the lot	D04 preliminary assay, p. 1; D01 draft SPA, Schedule A	Request a lot identifier and custody record linking the assayed material to the parcel being offered.

Document verification

The statuses below demonstrate how a Standard Deal Review records the work performed and the evidence still outstanding. All documents and results are fictional.

Document	Status	What was checked and what remains open
D01 • Draft SPA	Reviewed for consistency	Compared the seller, charges and lot description with the counterpart documents. Written authority and responsibility for the charge remain open.
D02 • Commercial invoice	Reviewed for consistency	Compared the consignee with D05. The buyer still needs the parties to agree the correct consignee.
D03 • Export licence copy	Supplier copy • No issuer check performed	Compared the holder name against D01. Issuer confirmation of validity and applicability to this shipment remains outstanding.
D04 • Preliminary assay	Lot link missing	Compared the assay reference with the parcel description in D01. No matching lot identifier was supplied.
D05 • Draft shipping instructions	Reviewed for consistency	Compared the consignee with D02. Corrected instructions and final transport paperwork remain outstanding.
D06 • Logistics invoice	Reviewed for consistency	Compared the requested charge with the draft SPA. The payment obligation and beneficiary remain to be confirmed.

How direct issuer confirmation is reported

If commissioned, the report records the independent source of the contact details, the date of the request, and which fields the issuer confirmed or disputed. The status stays pending until a response is received. Confirmation of a licence number alone leaves the licence’s application to a specific lot or shipment open.

Scope of the opinion

This opinion addresses whether the deal warrants further due diligence. It does not establish document authenticity, title or physical possession and does not authorise payment or shipment.